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# Controlling Neurons

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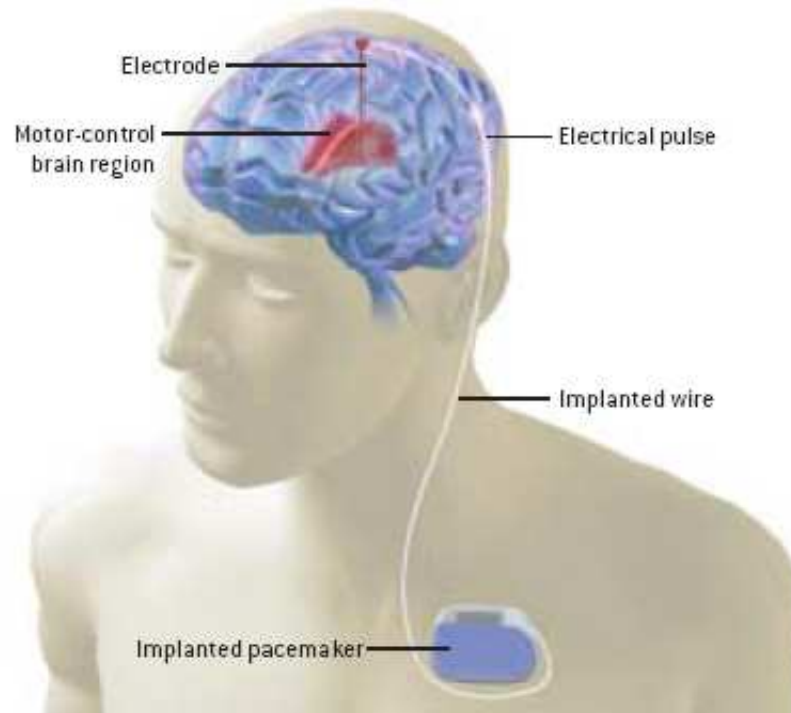
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# Motivation: Deep Brain Stimulation

- approved by US FDA for treatment of Parkinson's disease



- What is the best type of stimulation to get desired results?

# Outline

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This talk: more modest goal

Suppose want *single* neuron to fire at certain time.

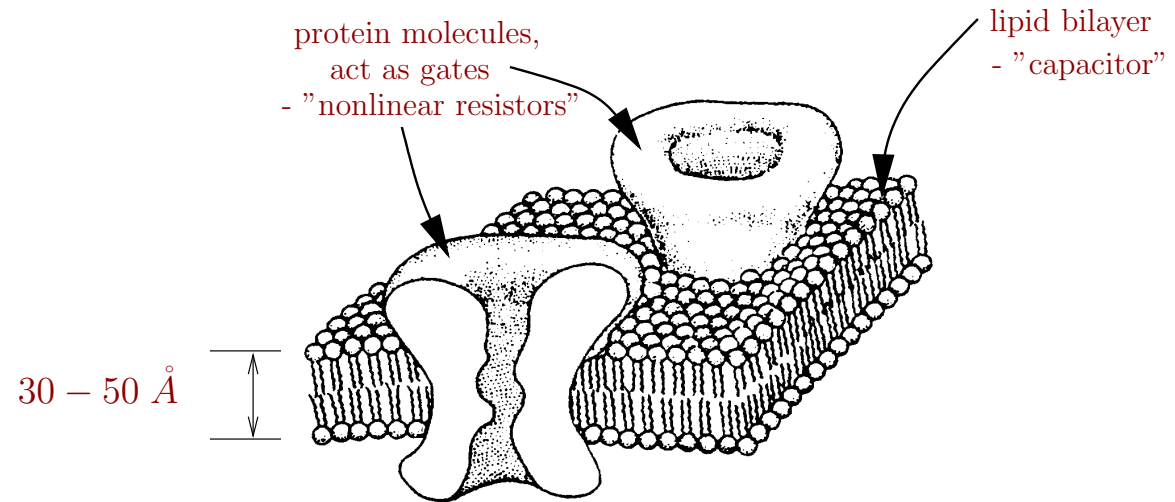
What current stimulus will make this happen?

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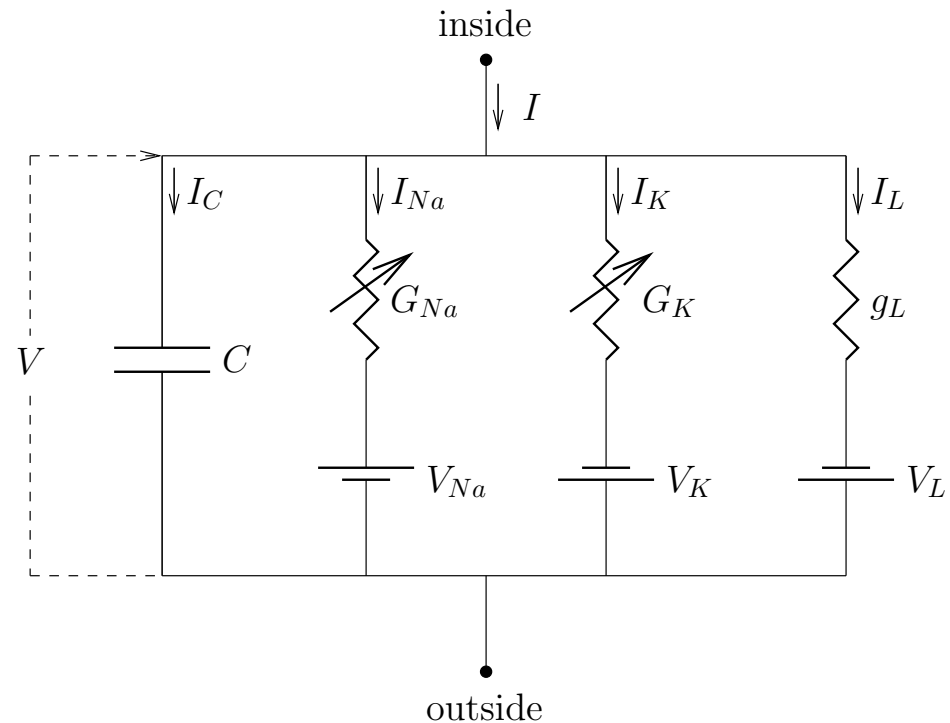
- Neural modeling/phase response curves
- Optimal  $I(t)$ : general results and examples
- Feedback control
- Conclusions

# Neural Modeling

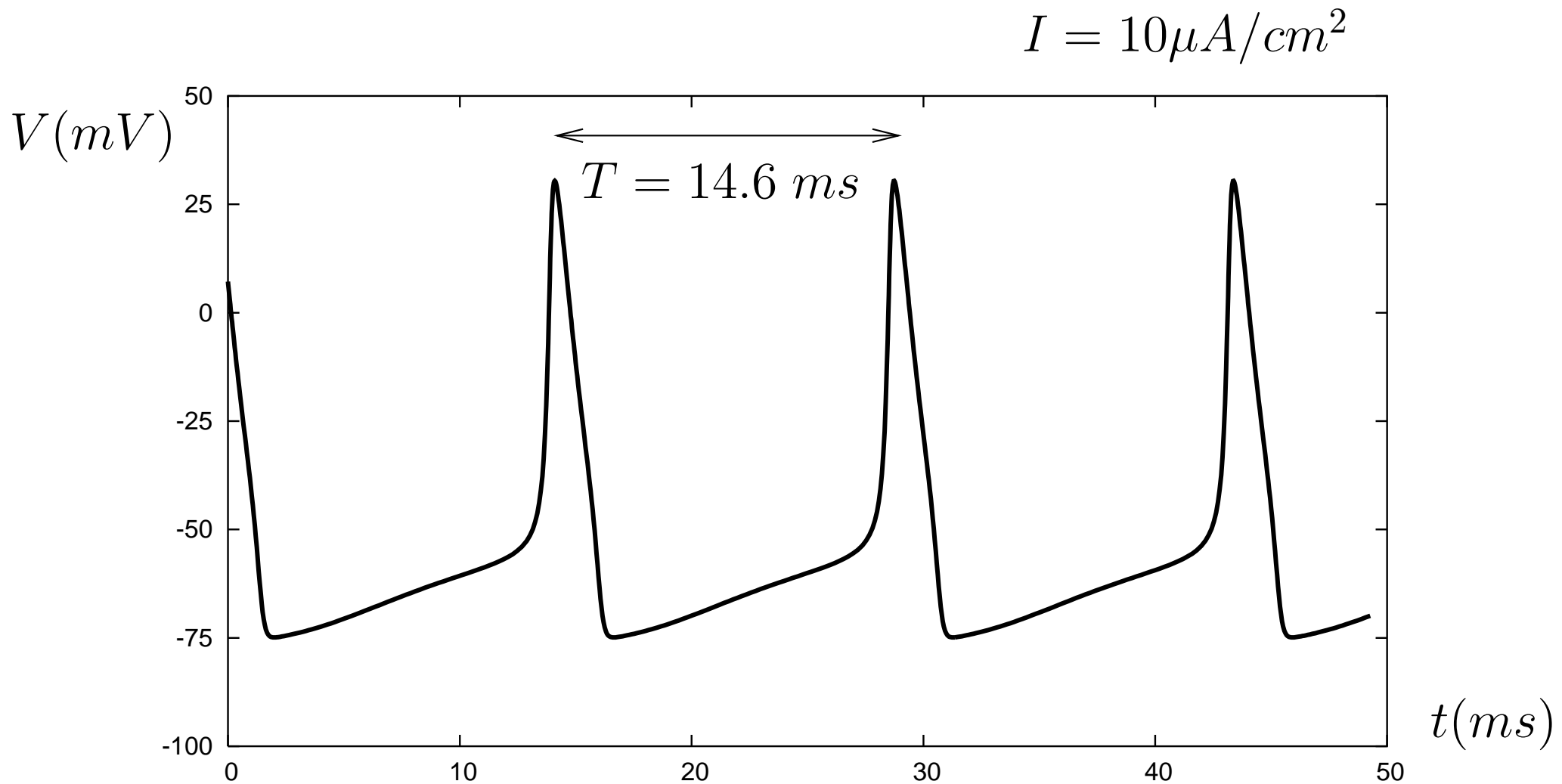
neuronal  
membrane



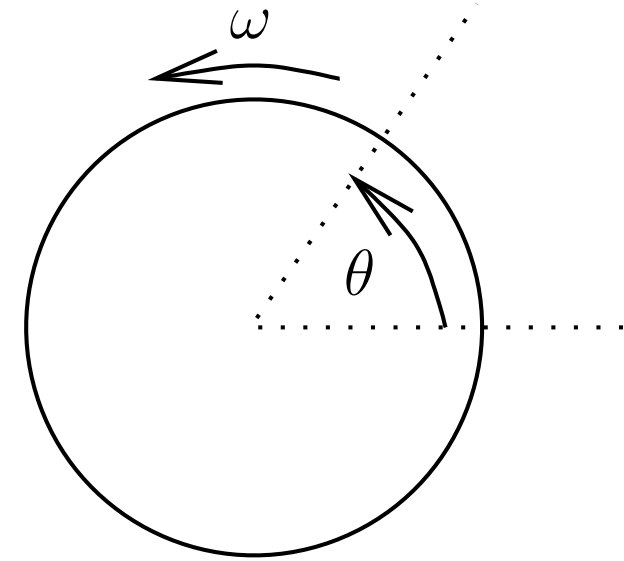
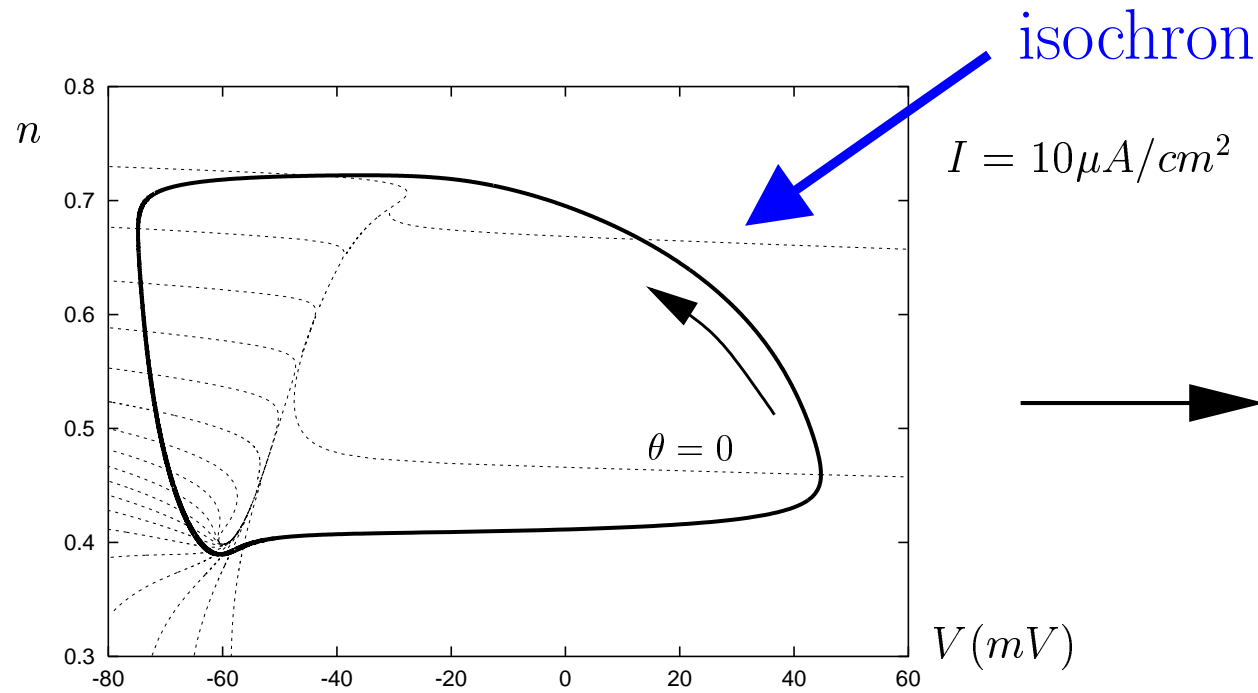
Hodgkin-Huxley  
model



# Neural Modeling



# Neural Modeling



$$\frac{d\mathbf{X}}{dt} = \mathbf{F}(\mathbf{X})$$



$$\frac{d\theta}{dt} = \omega$$

# Neural Modeling

$$\frac{d\mathbf{X}}{dt} = \mathbf{F}(\mathbf{X}) + \underbrace{\epsilon \mathbf{G}(\mathbf{X}, t)}_{\text{perturbation}}$$

$$\frac{d\theta}{dt} = \frac{\partial \theta}{\partial \mathbf{X}} \cdot \frac{d\mathbf{X}}{dt} = \frac{\partial \theta}{\partial \mathbf{X}} \cdot (\mathbf{F}(\mathbf{X}) + \epsilon \mathbf{G}(\mathbf{X}, t)) = \omega + \epsilon \frac{\partial \theta}{\partial \mathbf{X}} \cdot \mathbf{G}(\mathbf{X}, t)$$

Evaluate on limit cycle  $\mathbf{X}_0$  for unperturbed system:

$$\frac{d\theta}{dt} = \omega + \epsilon \mathbf{Z}(\theta) \cdot \mathbf{G}(\mathbf{X}_0, t), \quad \mathbf{Z}(\theta) = \left. \frac{\partial \theta}{\partial \mathbf{X}} \right|_{\mathbf{X}_0(\theta)}.$$

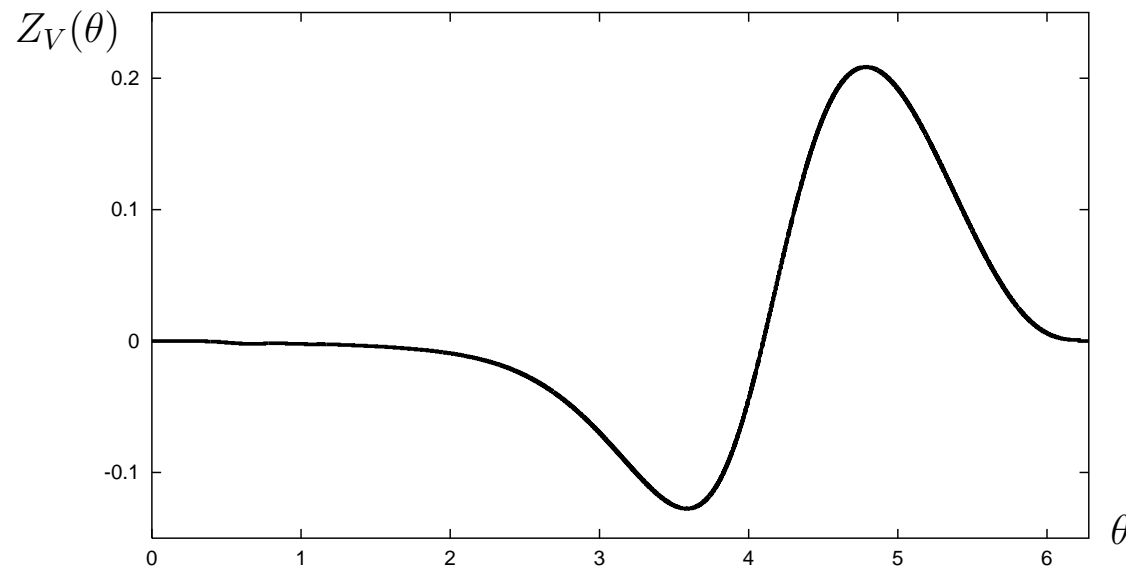
Suppose  $\epsilon \mathbf{G} = \epsilon \mathbf{G}(t) = (I(t), 0)$ ,  $I(t) = \text{current stimulus}$

$$\Rightarrow \boxed{\frac{d\theta}{dt} = \omega + Z_V(\theta) I(t)}$$

# Phase Response Curves (PRCs)

$$Z_V(\theta) = \frac{\partial \theta}{\partial V} = \lim_{\Delta V \rightarrow 0} \frac{\Delta \theta}{\Delta V}$$

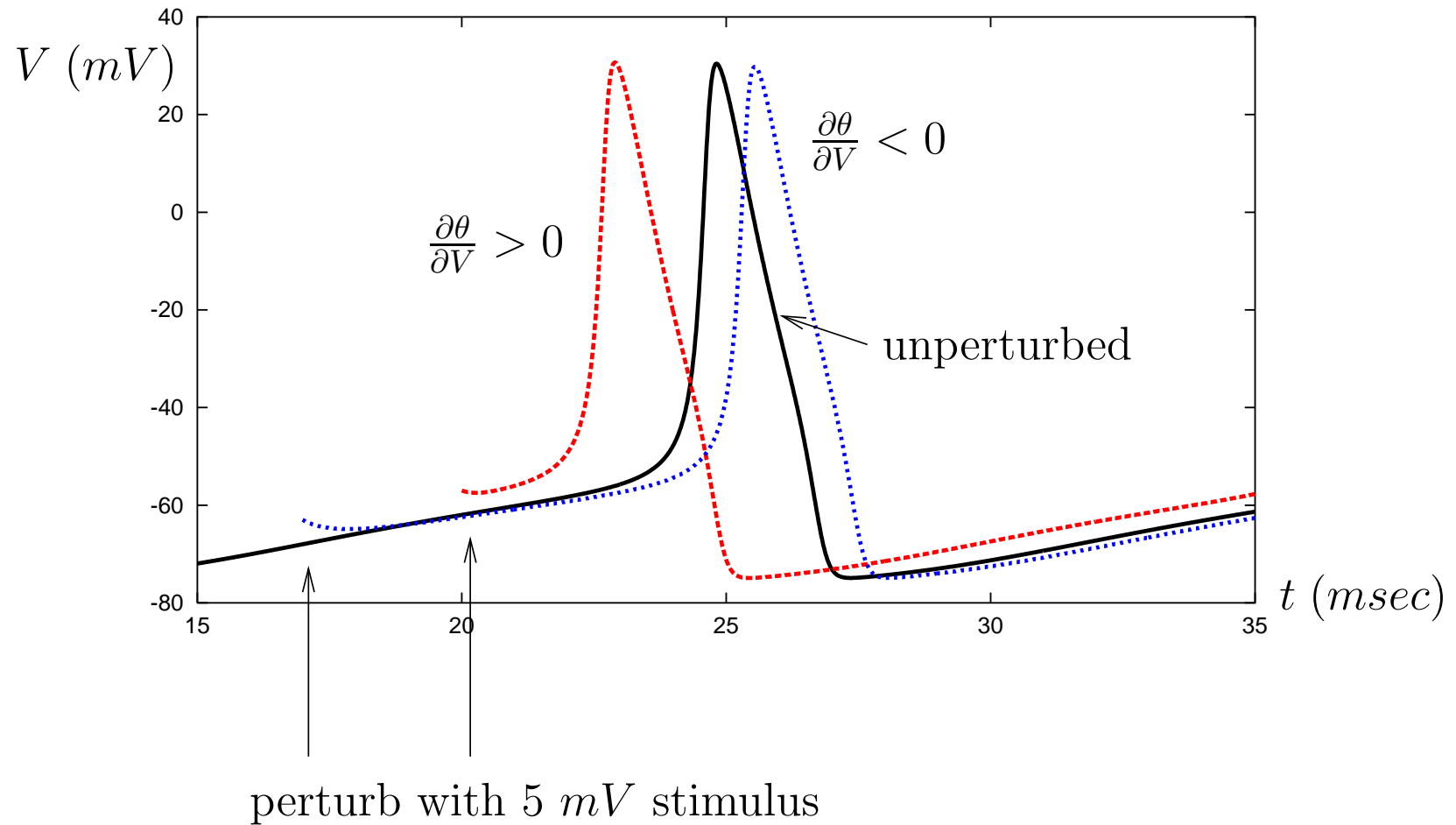
captures effect of impulsive perturbations in the voltage



phase response curve for Hodgkin-Huxley equations



# Phase Response Curves (PRCs)



# Phase Response Curves and Bifurcations

- **SNIPER bifurcation** (HR)

$$Z_V(\theta) \sim 1 - \cos(\theta)$$

- **saddle-node bifurcation of periodic orbits** (HH)

$$Z_V(\theta) \sim \sin(\theta - \theta_0)$$

- **supercritical Hopf bifurcation** (FN)

$$Z_V(\theta) \sim \sin(\theta - \theta_0)$$

- **homoclinic bifurcation** (ML)

$$Z_V(\theta) \sim e^{-\lambda\theta}$$

different bifurcation → different PRC  
→ different response

# Optimal $I(t)$ for Specified Time of Firing

Consider

$$\frac{d\theta}{dt} = f(\theta) + Z(\theta)I(t)$$

$f(\theta)$  gives neuron's baseline dynamics

$Z(\theta)$  is neuron's phase sensitivity function

$I(t)$  is a current stimulus

$\theta \in [0, 2\pi)$ ,  $\theta = 0$  corresponds to neuron firing

Suppose for specified time  $t_1$ , want to find input  $I(t)$  which evolves from  $\theta(0) = 0$  to  $\theta(t_1) = 2\pi$  and minimizes

$$G[I(t)] = \int_0^{t_1} [I(t)]^2 dt$$

# Optimal $I(t)$ for Specified Time of Firing

Apply calculus of variations to minimize

$$C[I(t)] = \int_0^{t_1} \underbrace{\left\{ [I(t)]^2 + \lambda \left( \frac{d\theta}{dt} - f(\theta) - Z(\theta)I(t) \right) \right\}}_{P[I(t)]} dt.$$

**Euler-Lagrange equations:**

$$\frac{\partial P}{\partial \theta} = \frac{d}{dt} \left( \frac{\partial P}{\partial \dot{\theta}} \right), \quad \frac{\partial P}{\partial \lambda} = \frac{d}{dt} \left( \frac{\partial P}{\partial \dot{\lambda}} \right), \quad \frac{\partial P}{\partial I} = \frac{d}{dt} \left( \frac{\partial P}{\partial \dot{I}} \right)$$

$$\Rightarrow \frac{d\theta}{dt} = f(\theta) + \frac{\lambda[Z(\theta)]^2}{2}, \quad \frac{d\lambda}{dt} = -\lambda f'(\theta) - \frac{\lambda^2 Z(\theta) Z'(\theta)}{2},$$

$$I(t) = \frac{\lambda(t)Z(\theta(t))}{2}.$$

**Need to find  $\lambda(0) = \lambda_0$  so that  $\theta(0) = 0, \theta(t_1) = 2\pi$ .**

# Some Useful Results

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- The Hamiltonian function

$$H(\theta, \lambda) = \lambda f(\theta) + \frac{1}{4} \lambda^2 [Z(\theta)]^2$$

conserved on trajectories for Euler-Lagrange eqns

- **Theorem:** Suppose  $f(0) > 0$  and  $Z(0) = 0$ , as is commonly the case for neurons. Then for any  $t_1 > 0$ , an optimal  $I(t)$  exists and is unique.

# Some Useful Results

**definition:** An *intrinsically oscillatory neuron* is one which fires periodically in the absence of input  $I(t)$ .

phase reduction  $\Rightarrow f(\theta) = \omega > 0$ , period  $T = 2\pi/\omega$ .

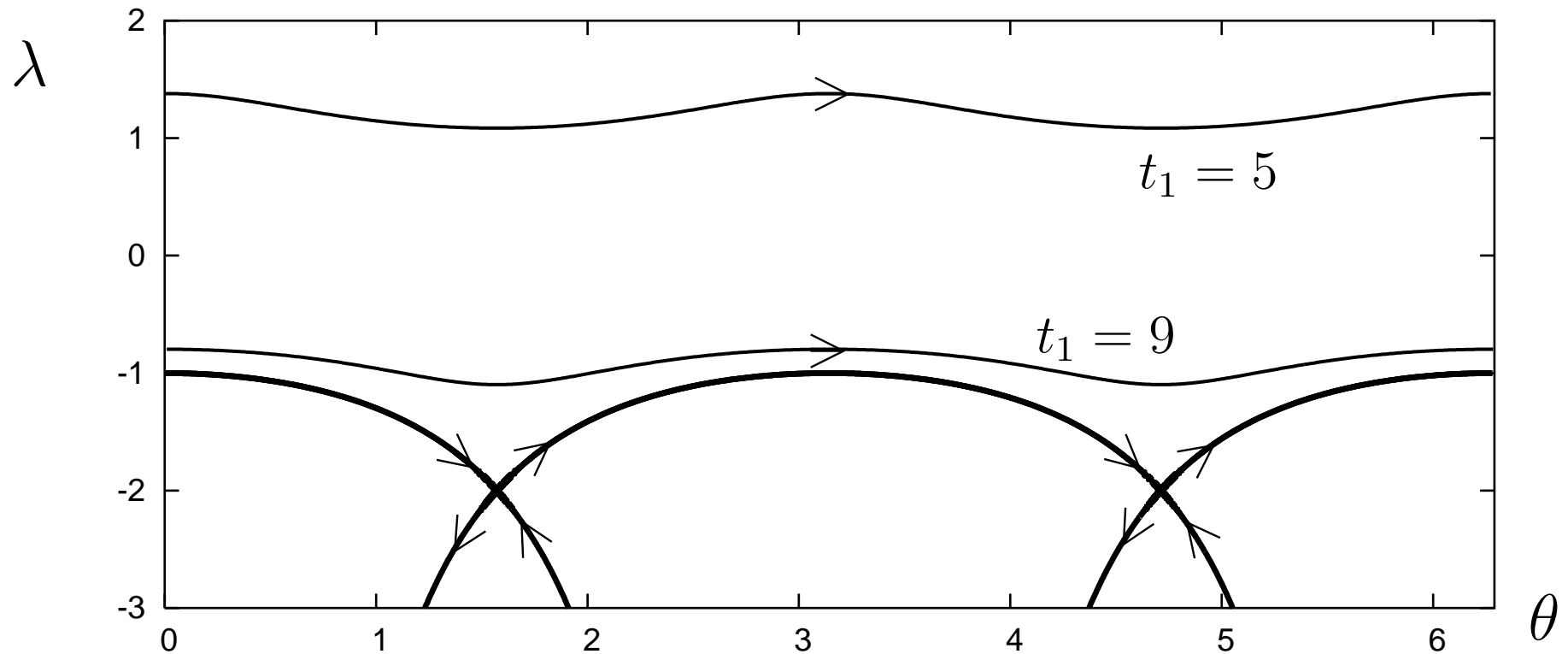
- For intrinsically oscillatory neurons

$$I(t) = -\frac{(t_1 - T)\omega^2 Z(\omega t - 2\pi t(t_1 - T)/(t_1 T))}{\int_0^{2\pi} [Z(\theta)]^2 d\theta} + \mathcal{O}((t_1 - T)^2).$$

That is, for small  $|t_1 - T|$  the optimal current  $I(t)$  is proportional to  $Z(\cdot)$ .

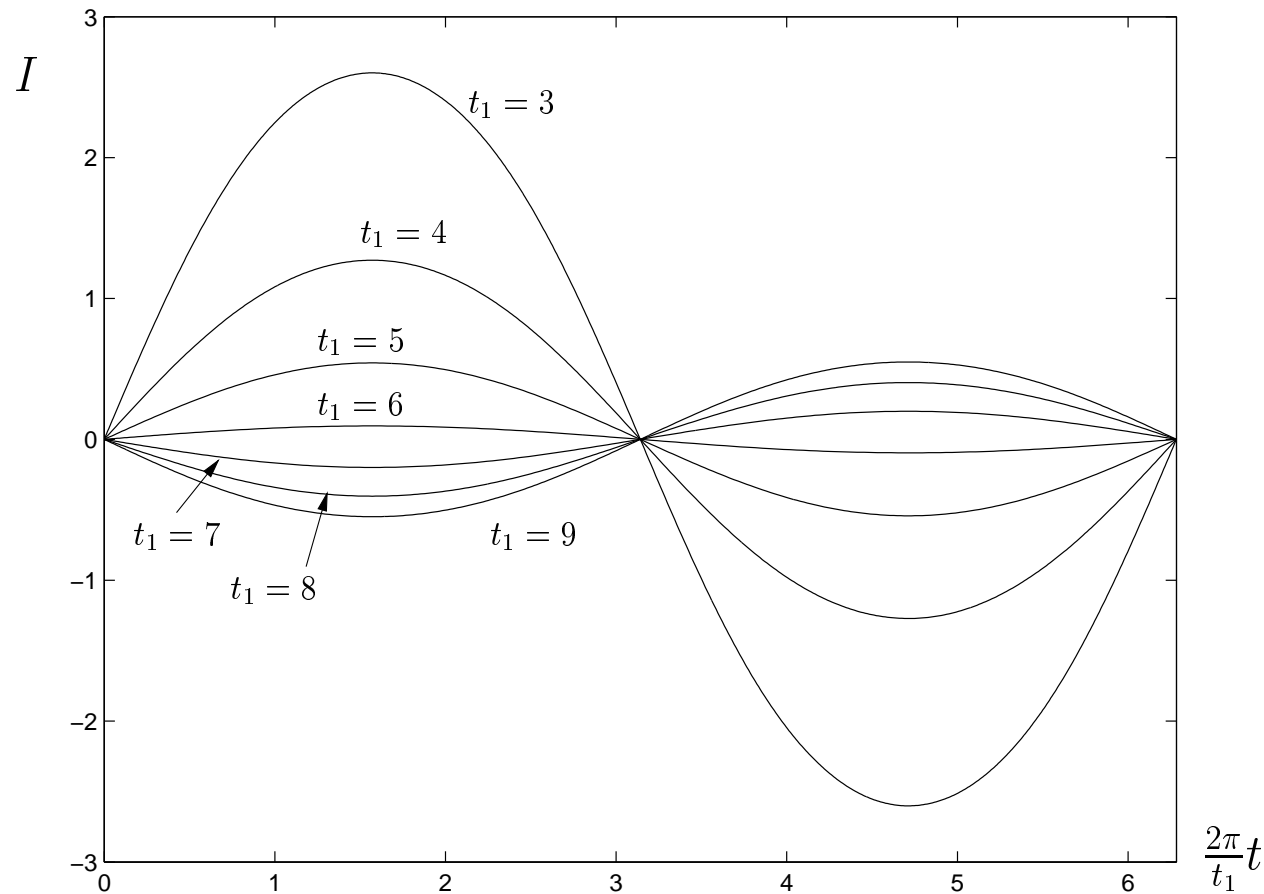
**Example 1:**  $f(\theta) = \omega$ ,  $Z(\theta) = Z_d \sin \theta$

For  $\omega = Z_d = 1$ , phase space for Euler-Lagrange eqns:



**Example 1:**  $f(\theta) = \omega$ ,  $Z(\theta) = Z_d \sin \theta$

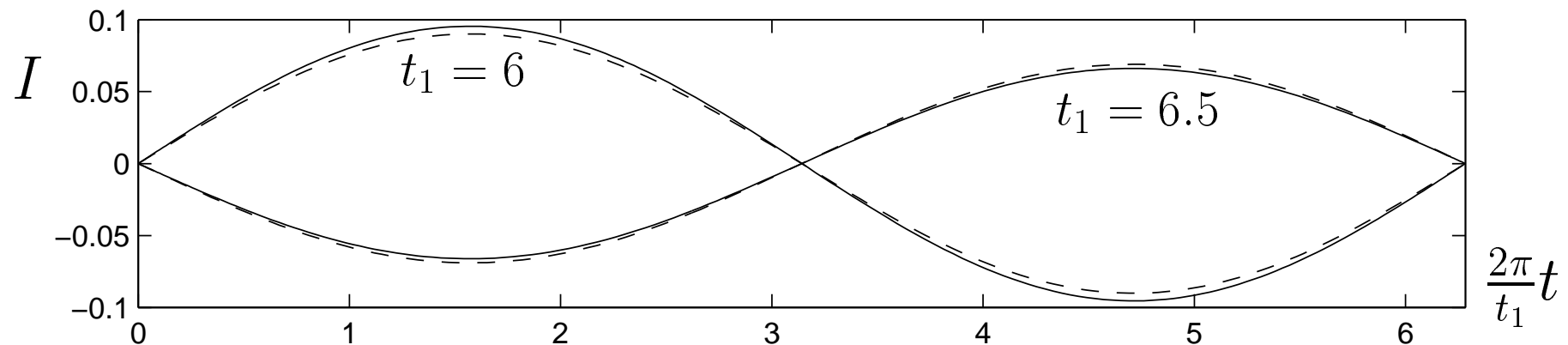
Optimal  $I(t)$  for different values of  $t_1$





**Example 1:**  $f(\theta) = \omega$ ,  $Z(\theta) = Z_d \sin \theta$

Small  $|t_1 - T|$  approximation



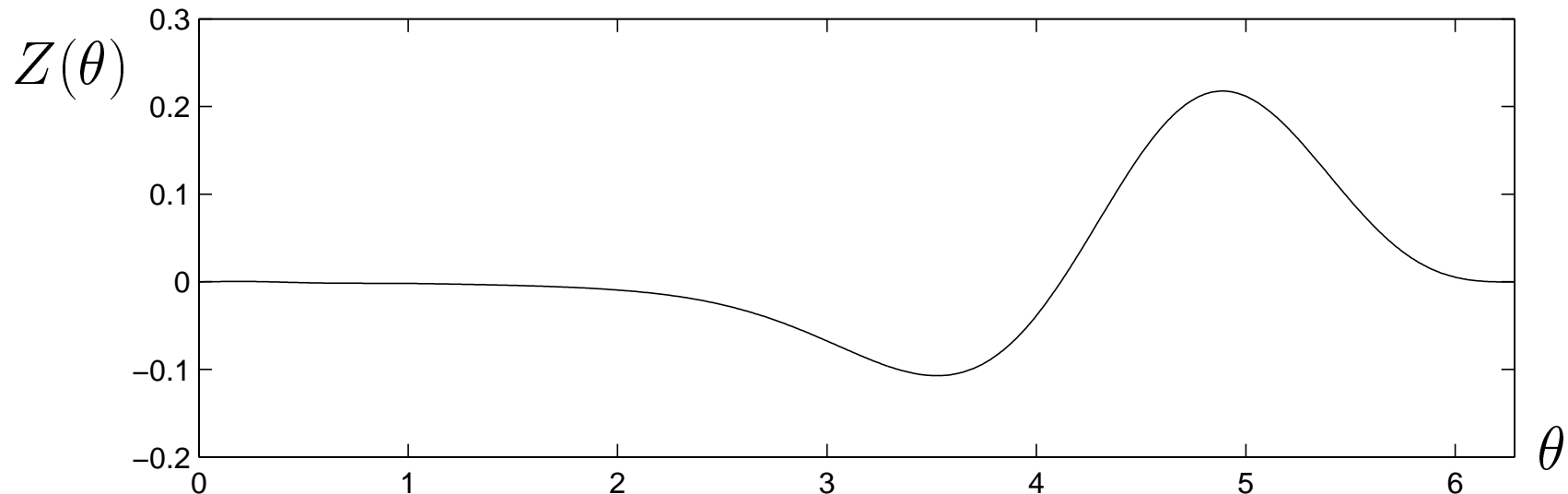
solid: from shooting method

dashed: approximation

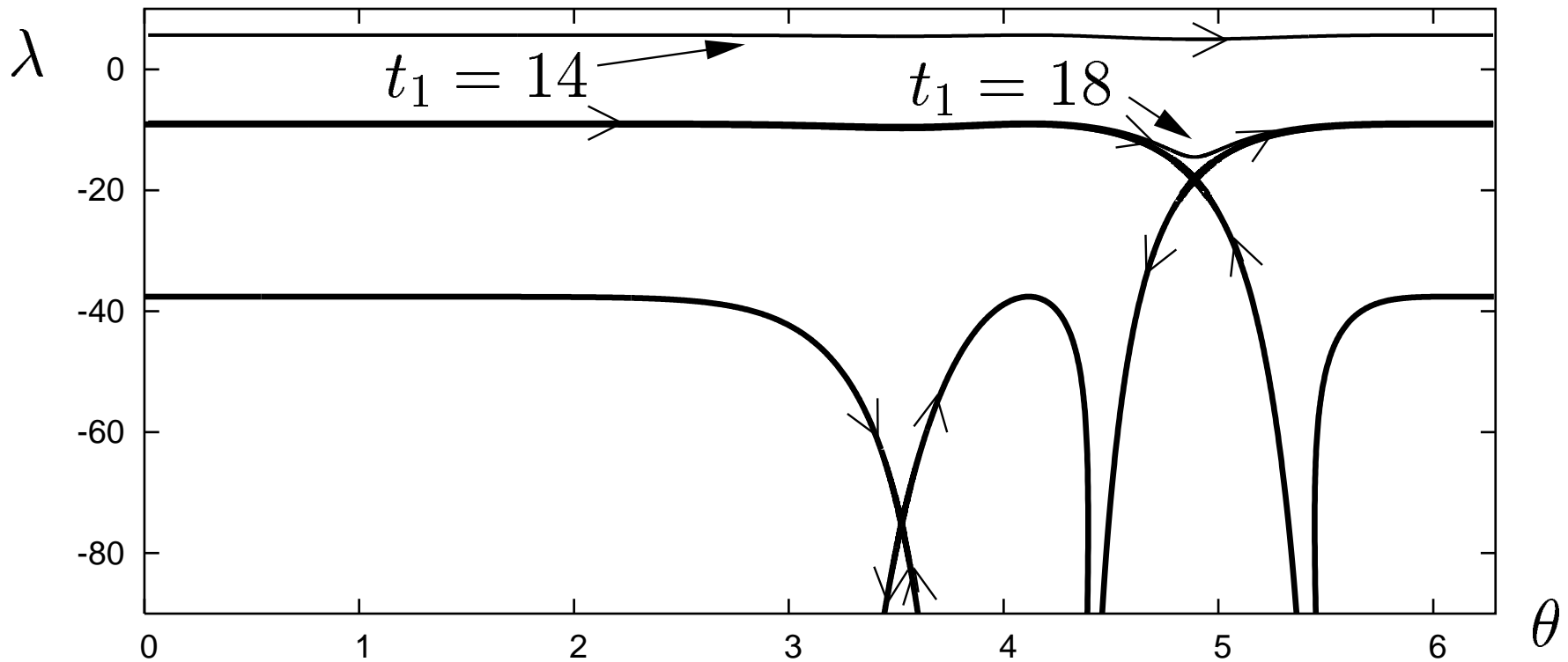
# Example 2: Hodgkin-Huxley equations

model for generation of action potentials for squid giant axon, based on dynamical interplay between ionic conductances and electrical activity

for injected baseline current  $I_b = 10$ , fires periodically with  $T = 14.63$  ms

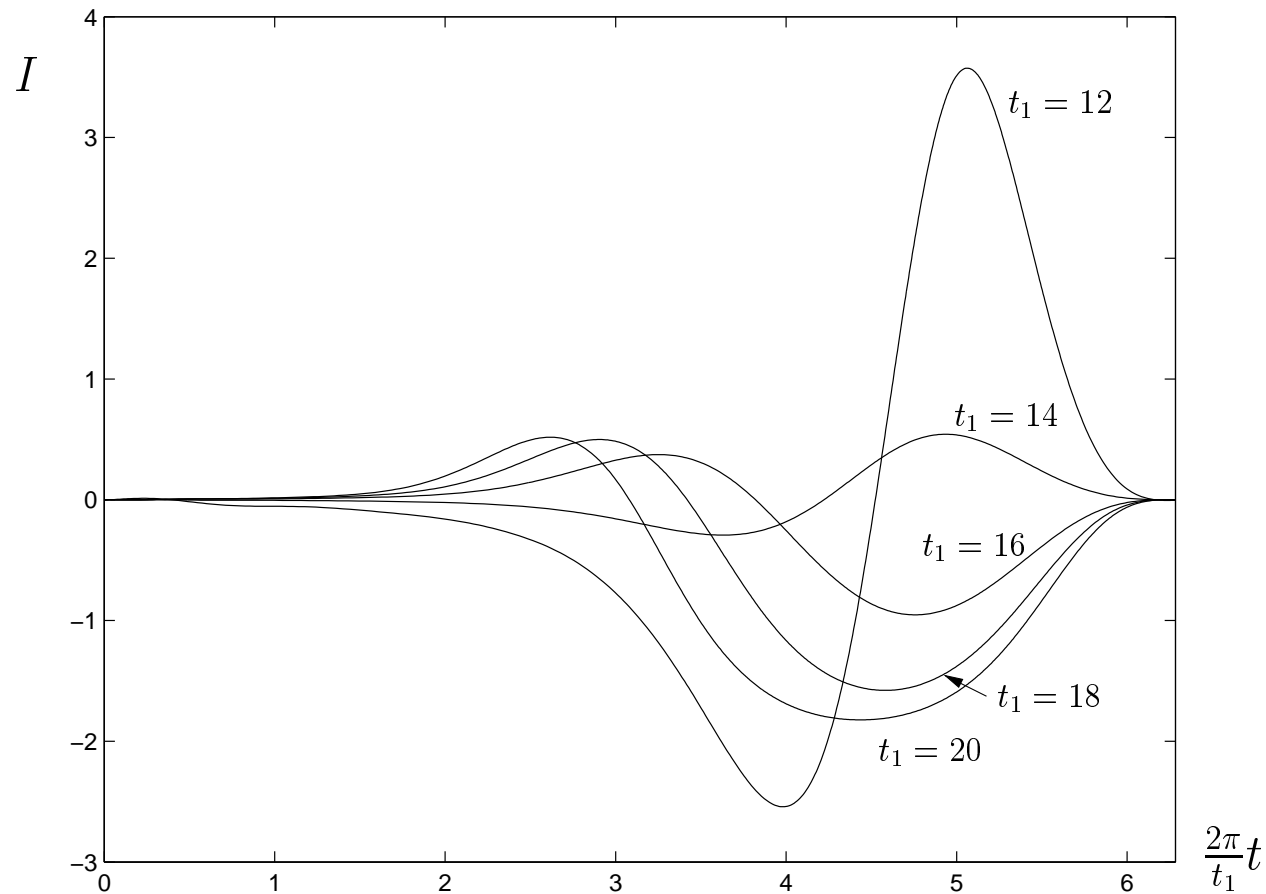


# Example 2: Hodgkin-Huxley equations



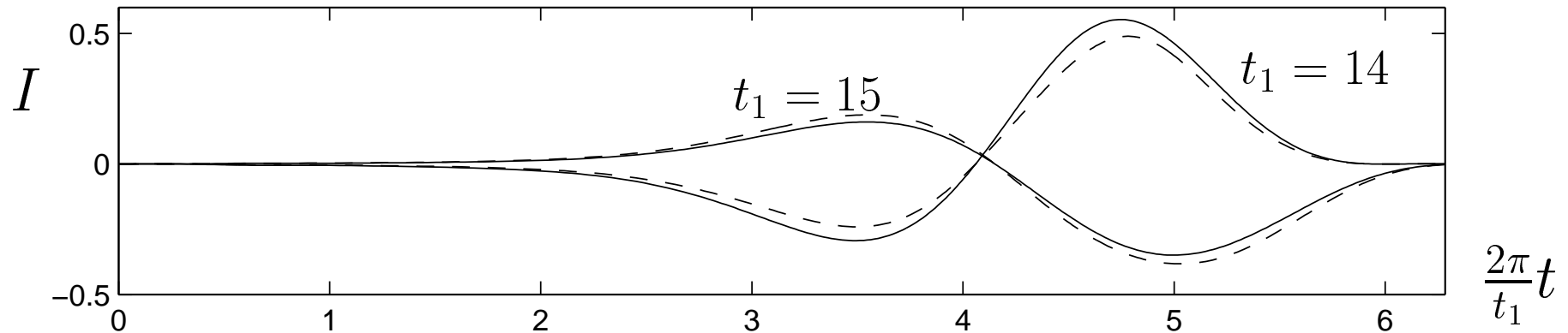
# Example 2: Hodgkin-Huxley equations

Optimal  $I(t)$  for different values of  $t_1$



# Example 2: Hodgkin-Huxley equations

Small  $|t_1 - T|$  approximation



solid: from shooting method

dashed: approximation

# Example 2: Hodgkin-Huxley equations

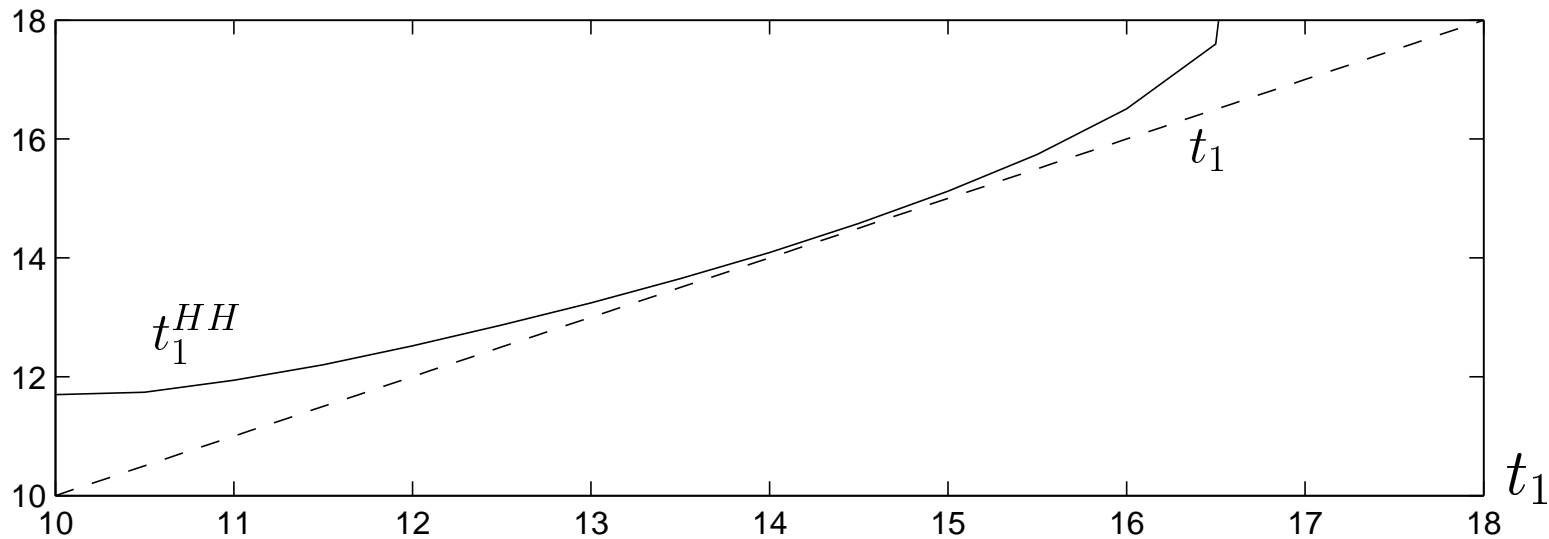
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Comparison to full Hodgkin-Huxley equations:

- Choose  $t_1$
- Inject optimal  $I(t)$  found from phase model into full equations up to time  $t_1$
- $I(t) = 0$  for  $t > t_1$
- Compare time neuron fires to  $t_1$

# Example 2: Hodgkin-Huxley equations

Using  $I(t)$  from phase model works well for full Hodgkin-Huxley equations for  $t_1 \approx T$



# Optimal $I(t)$ for Minimizing Time of Firing

Suppose want to get neuron to fire as soon as possible, subject to constraint

$$|I(t)| \leq \bar{I} \quad \forall t$$

In time  $dt$ , phase advances by

$$d\theta = [f(\theta) + Z(\theta)I(t)]dt.$$

To maximize  $d\theta$  at each time step, take

$$I(t) = I^{bb}(\theta(t)) = \begin{cases} \bar{I} & \text{for } Z(\theta(t)) > 0 \\ -\bar{I} & \text{for } Z(\theta(t)) < 0 \end{cases}.$$

“bang-bang control”



# Feedback



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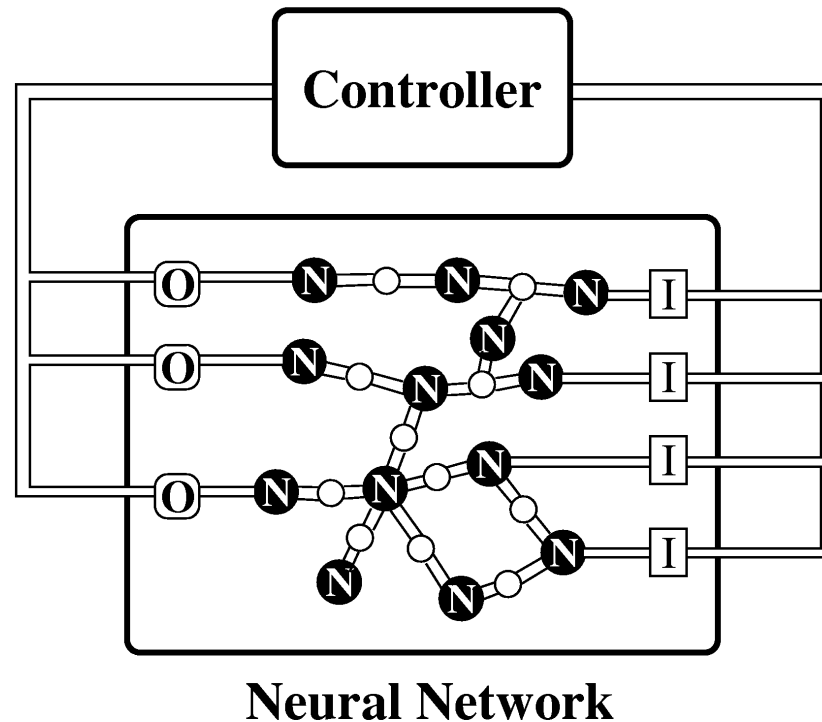
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Good E-Bayer, prompt payment received, many thanks	Seller <a href="#">farkys60</a> (18 ★ )	Aug-31-07	<a href="#">130146136424</a>

# Feedback Control



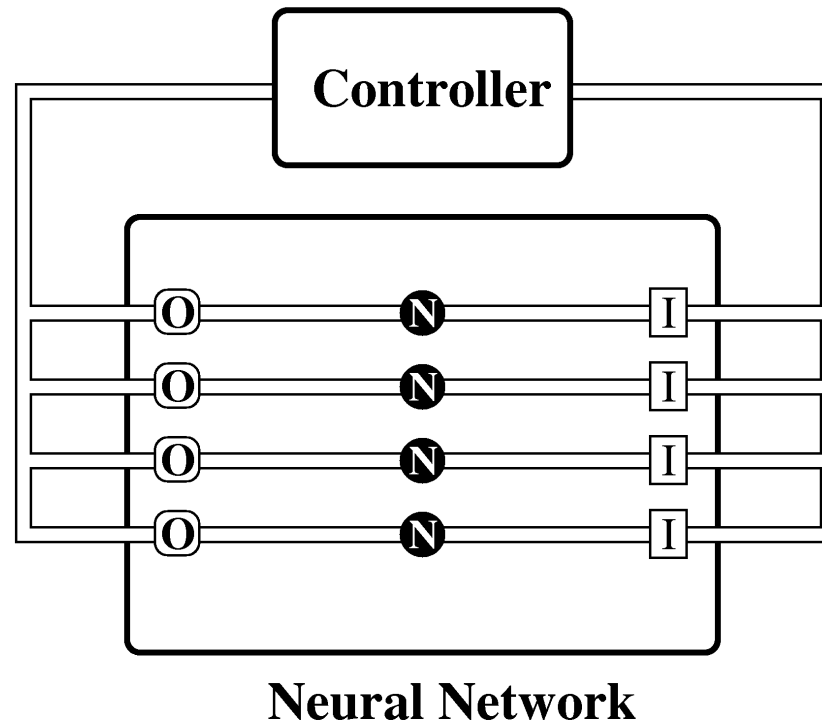
## Challenges:

- all we can measure is voltage
- unknown network topology
- non-identical neurons, non-identical coupling

# Feedback Control

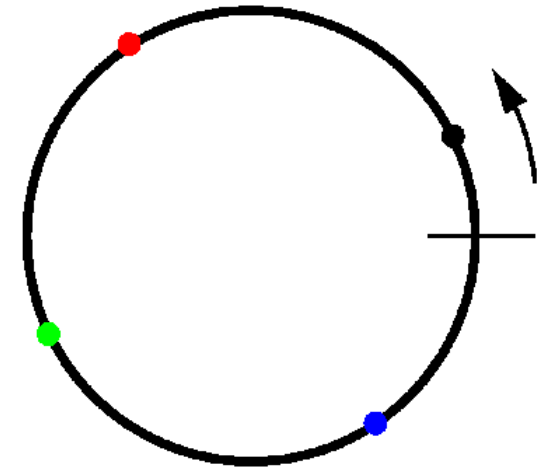
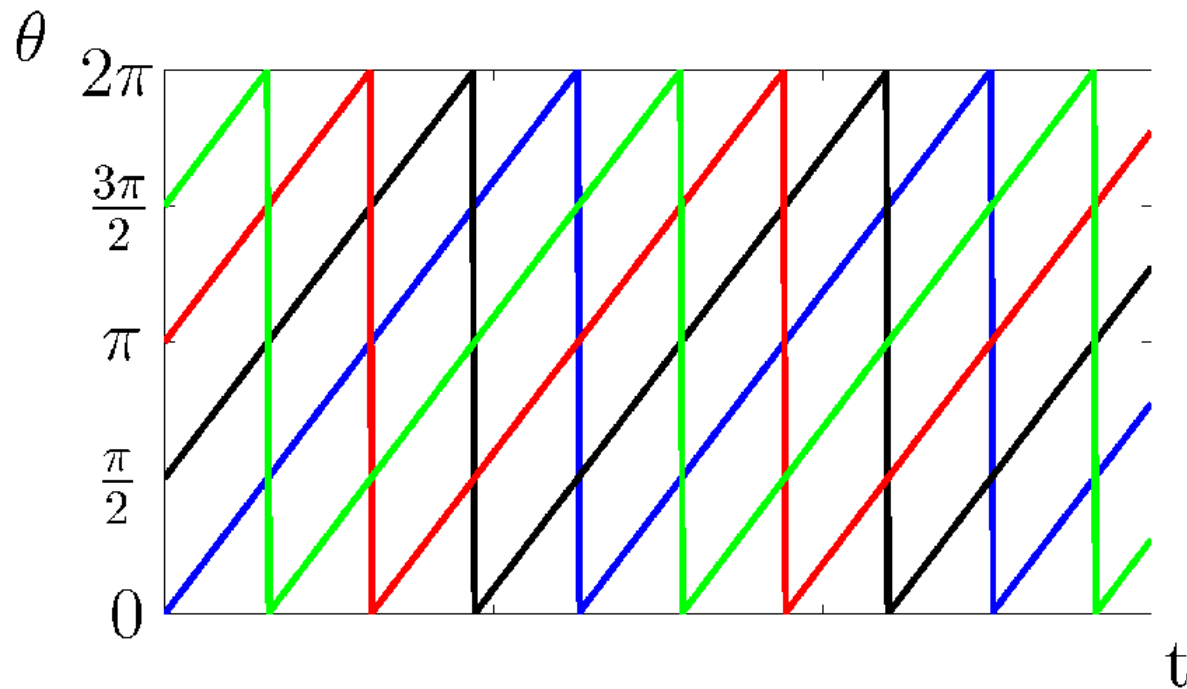
## A Simplified Problem:

- identical uncoupled phase oscillators, each with input/output



# Feedback Control

Desynchronize population by  
controlling groups of neurons to have  
“equally staggered” reference trajectories



cf. Tass et al

$\Rightarrow$  Control of single neurons

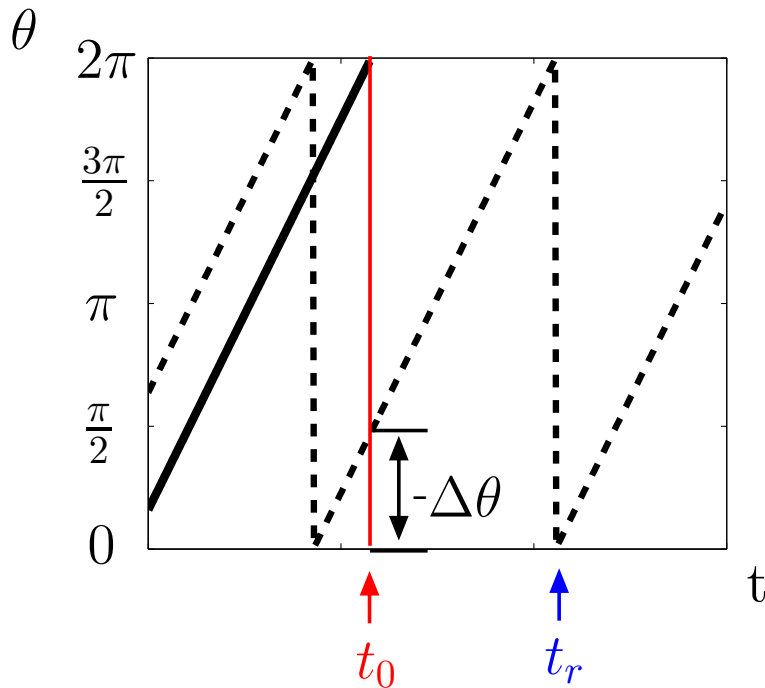
# Feedback Control

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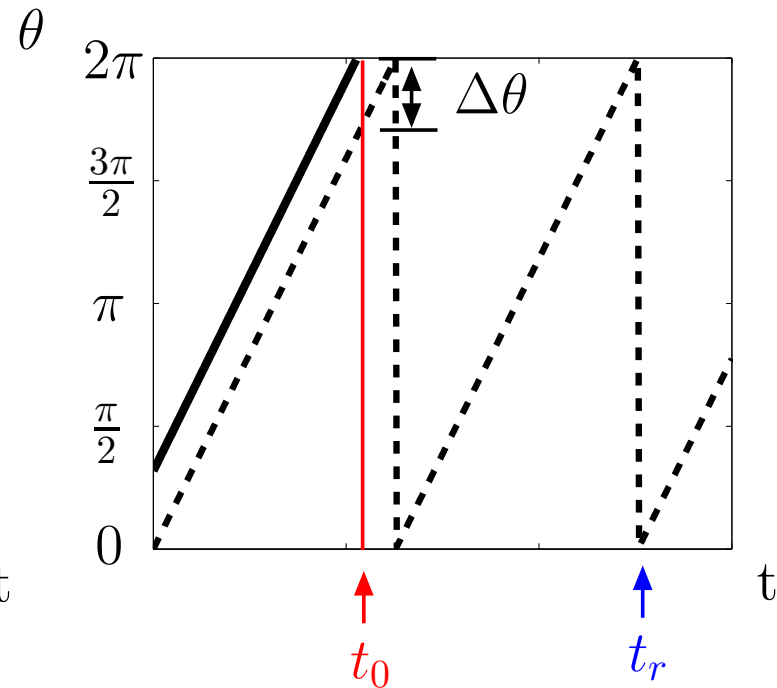
- suppose PRC for neuron is known
- event-based control: only detect when neuron fires
- charge balance constraint:

$$\int_{\theta=0}^{\theta=2\pi} u(t) dt = 0 \quad I(t) \rightarrow u(t)$$

# Reference Trajectories and Errors



$$\Delta\theta < 0$$



$$\Delta\theta > 0$$

$$\Delta\theta = \begin{cases} \theta - \theta_r & , \quad \text{for } |\theta - \theta_r| \leq \pi \\ \theta - \theta_r - \text{sgn}(\theta - \theta_r)2\pi & , \quad \text{for } |\theta - \theta_r| > \pi \end{cases}$$

$$-\pi < \Delta\theta \leq \pi$$

# Intuitive Idea

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$$\frac{d\theta}{dt} = \omega + Z(\theta)u(t)$$

If  $\Delta\theta < 0$ , want to speed up neuron

- take  $u(t) \leq 0$  when  $Z(\theta) < 0$
- take  $u(t) \geq 0$  when  $Z(\theta) > 0$

If  $\Delta\theta > 0$ , want to slow down neuron

- take  $u(t) > 0$  when  $Z(\theta) < 0$
- take  $u(t) < 0$  when  $Z(\theta) > 0$

# Theorem

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For  $\theta(0) = 0$ ,

$$Z(\theta) = -\sin(\theta)$$

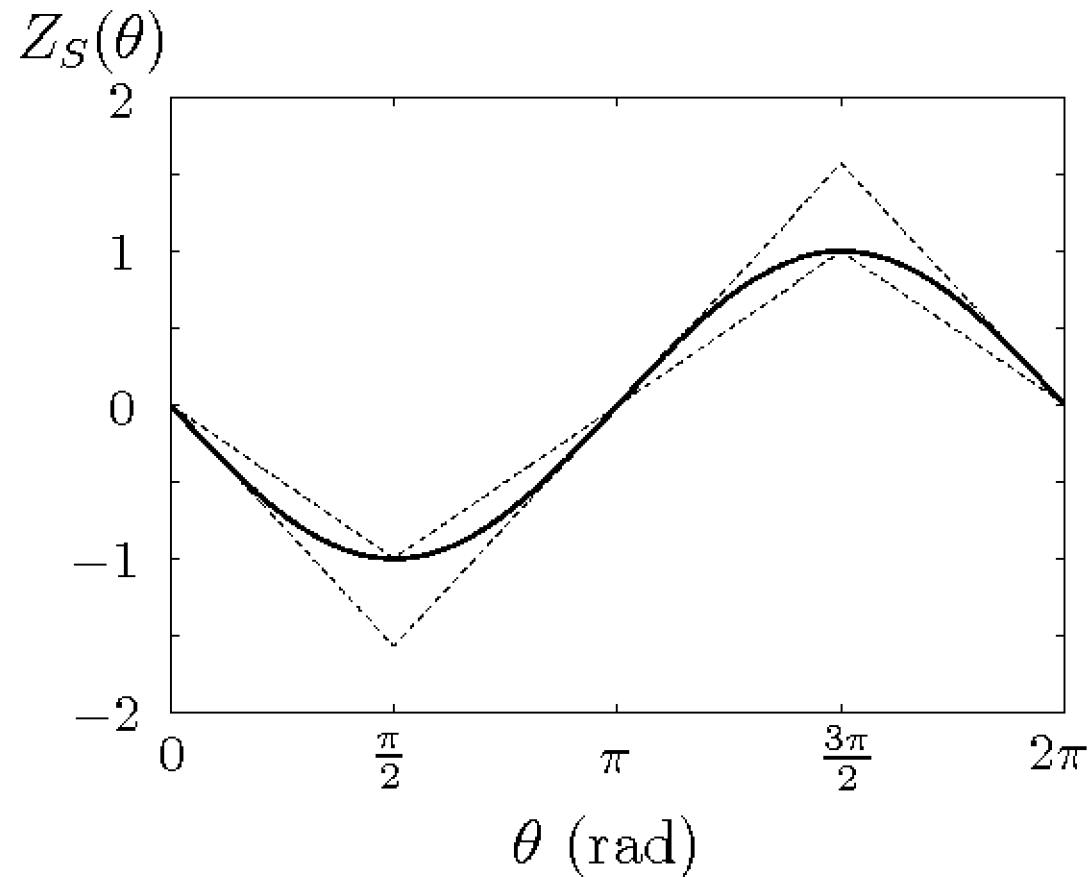
$$u(t) = \begin{cases} c\Delta\theta & , \quad \text{for } 0 \leq t < t_s/2 \\ -c\Delta\theta & , \quad \text{for } t_s/2 \leq t < t_s \\ 0 & , \quad \text{otherwise,} \end{cases}$$

$$t_s = \frac{2\pi - |\Delta\theta|/2}{\omega},$$

the phase error of the oscillator will be a contraction in the limit of small, positive  $c$ .

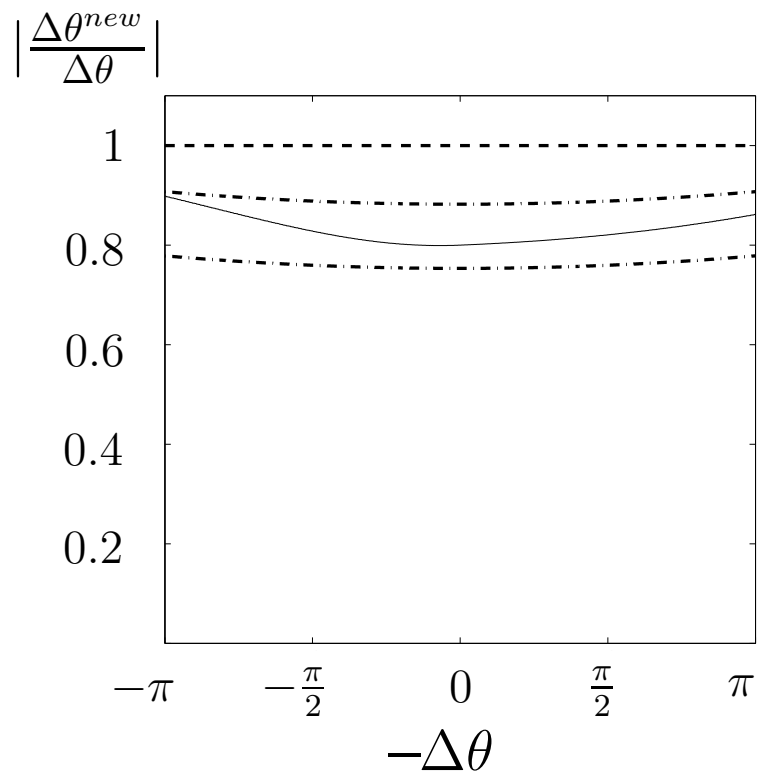


# Proof

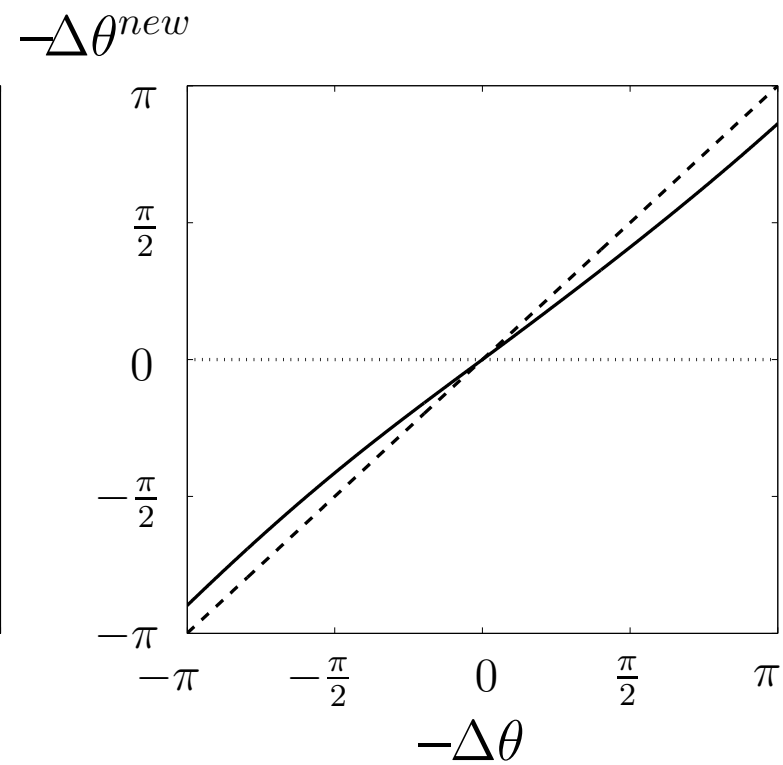


Use PRC bounds, Gronwall's Lemma to bound the solution.  
Show contraction for all  $\Delta\theta \in (-\pi, \pi]$ .

# An Example



(a)

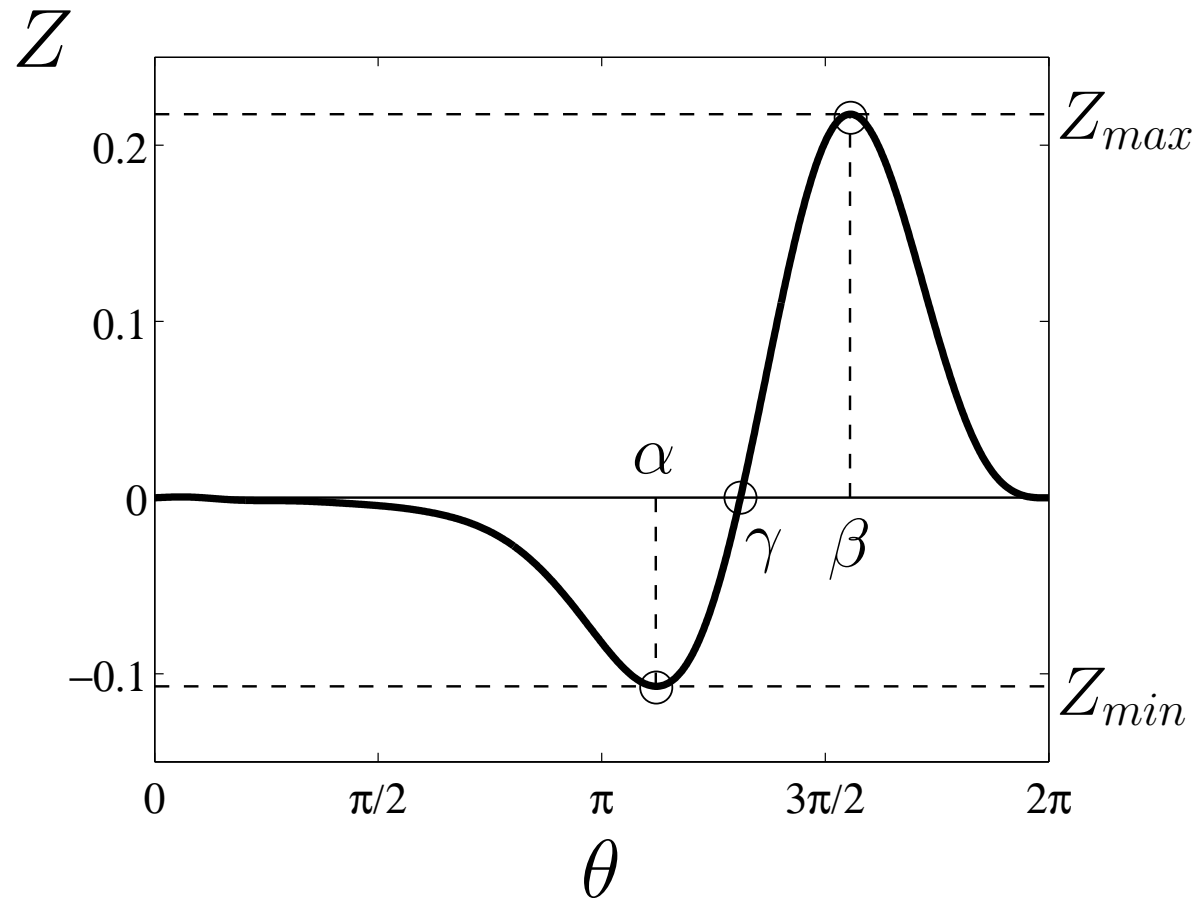


(b)

$$\omega = 1, \quad c = 0.05$$

# Impulsive Control Law

## Definitions



# Impulsive Control Law

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Two appropriately timed  $\delta$ -function inputs:

$$u(t) = \bar{u}(-\delta(t - t_1) + \delta(t - t_2))$$

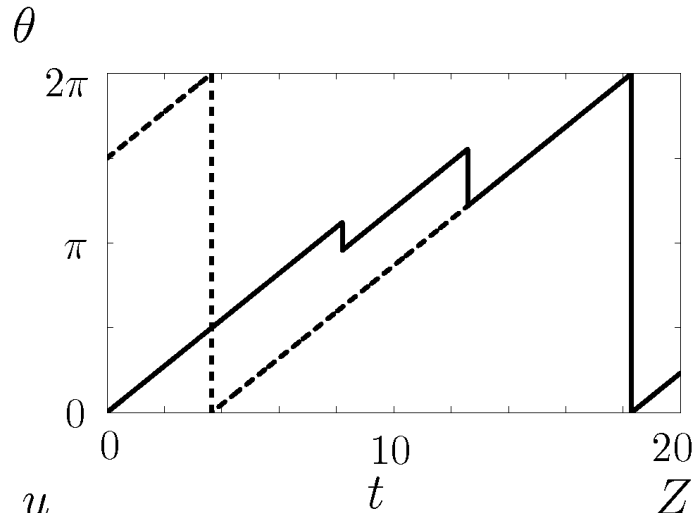
where

$$t_1 = \frac{\alpha}{\omega}, \quad t_2 = \frac{1}{\omega}(\beta - Z_{min}\bar{u}), \quad \bar{u} = \frac{\Delta\theta}{Z_{max} - Z_{min}}$$

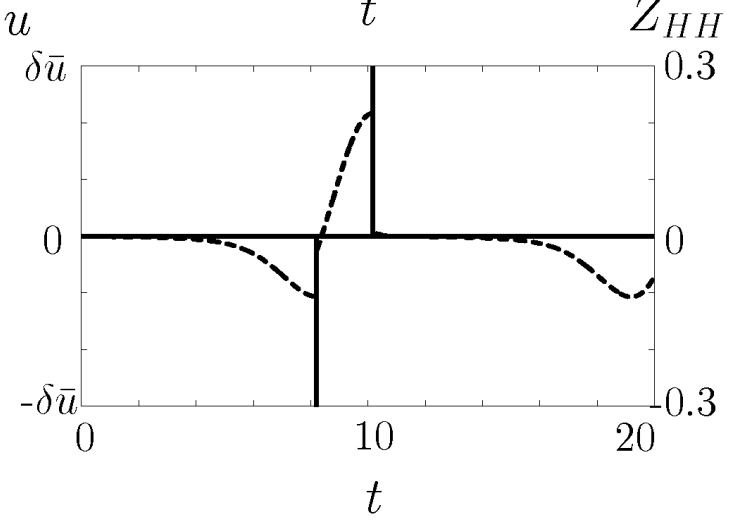
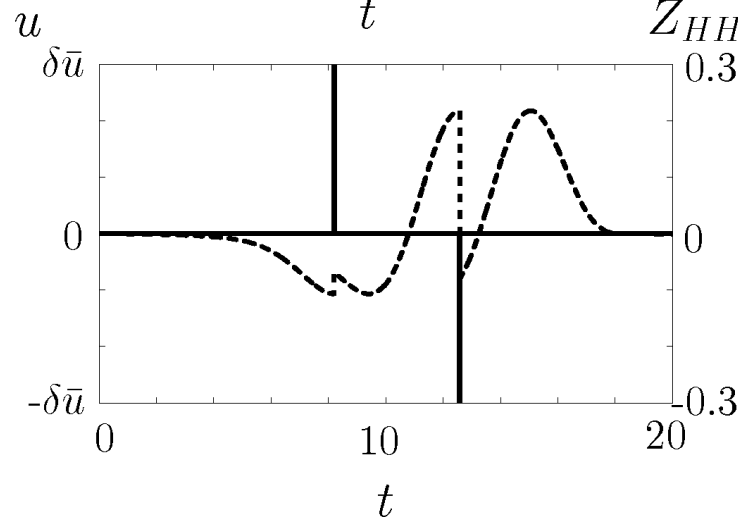
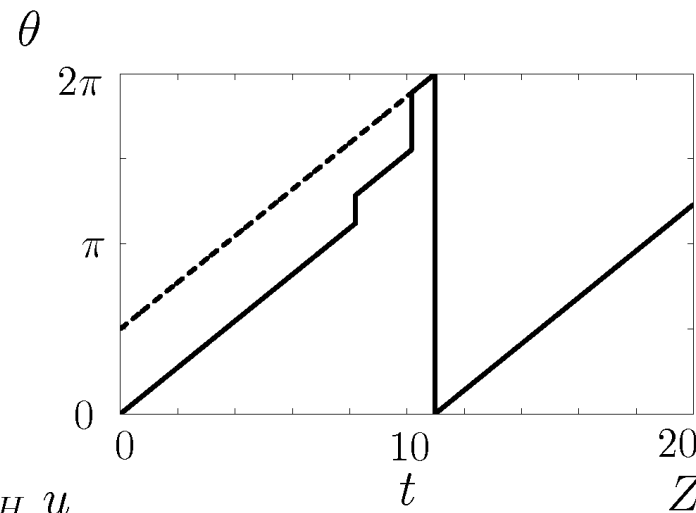
- reduces phase error to zero
- problem: control input may be too large

# Impulsive Control Law

$$\Delta\theta = \pi/2$$



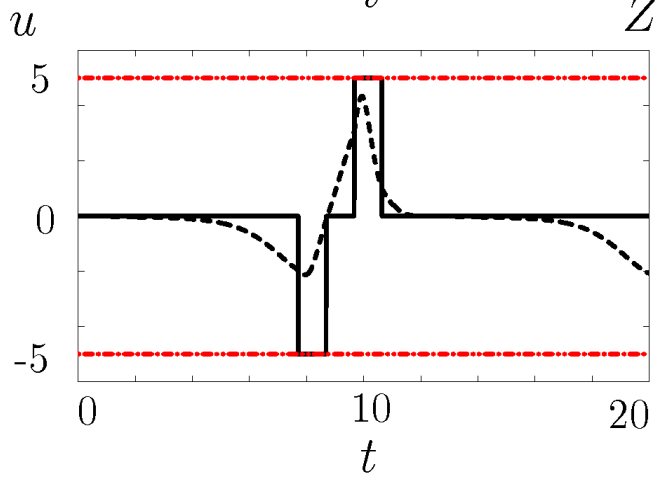
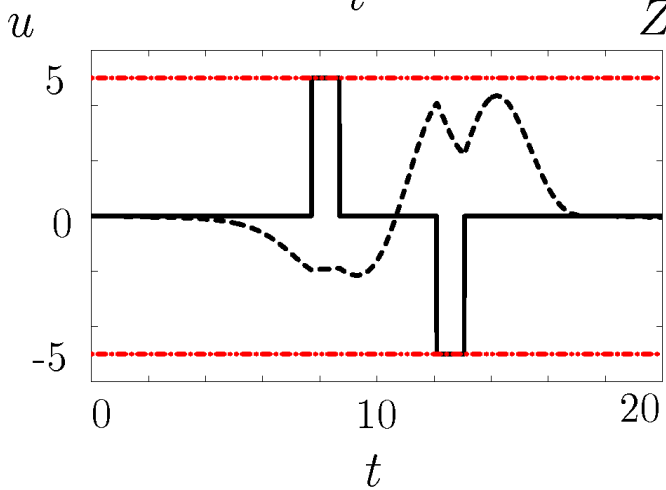
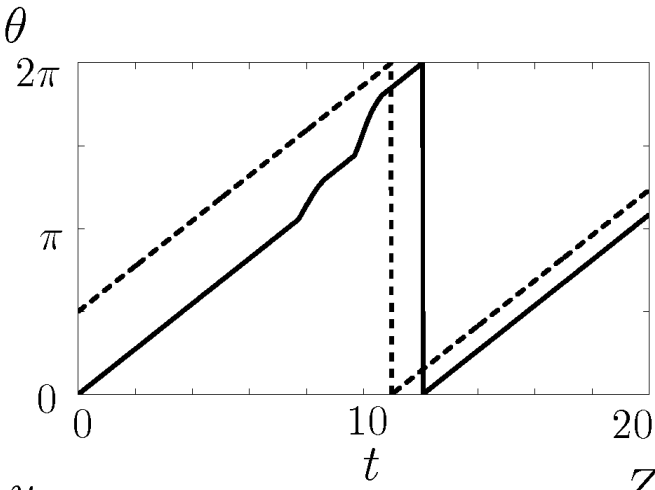
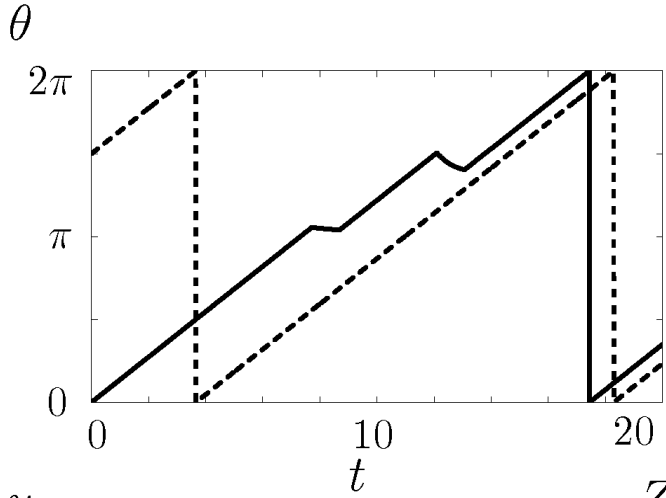
$$\Delta\theta = -\pi/2$$



# Quasi-Impulsive Control

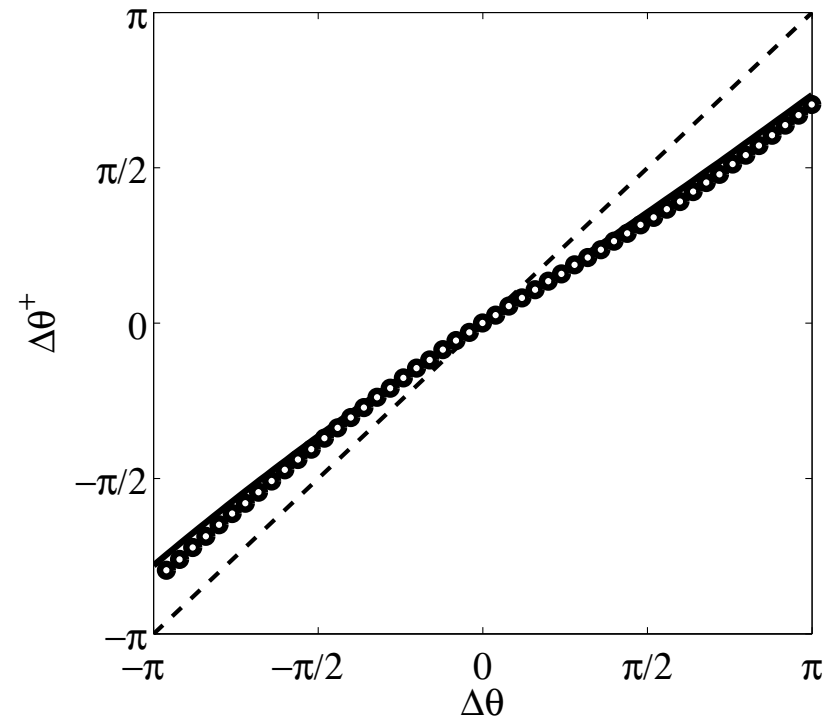
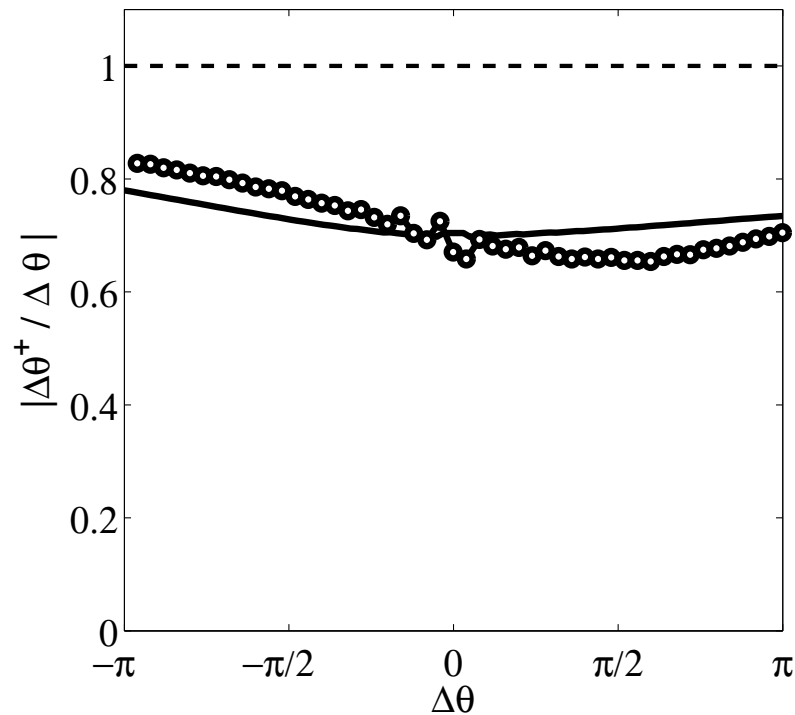
$$\Delta\theta = \pi/2$$

$$\Delta\theta = -\pi/2$$



# Quasi-Impulsive Control

Theorem: For a range of control parameters, the phase error map will be a contraction.



# Conclusions

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Optimal  $I(t)$  for specified time of firing  $t_1$

- for typical neuron models, exists and is unique
- for intrinsically oscillatory neurons and  $t_1$  approximately equal to natural period,  $I(t)$  proportional to phase response curve  $Z(\theta)$

Event-based feedback control

- impulsive, quasi-impulsive,  $\dots$

Future Work :

- control of real neurons, collaboration with Tay Netoff (Minnesota)
- networks



# Collaborators

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- Eric Shea-Brown, professor, Univ. Washington
- Herschel Rabitz, professor, Princeton University

JM, E. Shea-Brown, H. Rabitz, *ASME J. Comp. Nonlin. Dyn.* 1, 358-367, 2006.

- Per Danzl, graduate student, UCSB

P. Danzl, JM, CDC07

P. Danzl, JM, ACC08

# Postdoc Positions at UCSB

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- Postdoc #1, joint with Francesco Bullo
  - collective decision-making strategies
  - multi-agent stochastic search algorithms
- Postdoc #2
  - dynamics of individual and coupled oscillators, including neuroscience applications

# Existence and Uniqueness of Optimal $I(t)$

## Theorem

Suppose  $f(0) > 0$ ,  $Z(0) = 0$ , so that  $H_0 = f(0)\lambda_0$ . Then for any  $t_1 > 0$ , an optimal  $I(t)$  exists and is unique.

## Proof

$$t_1 = \int_0^{t_1} dt = \int_0^{2\pi} \frac{d\theta}{f(\theta) + \frac{\lambda[Z(\theta)]^2}{2}} = \int_0^{2\pi} \frac{d\theta}{\sqrt{[f(\theta)]^2 + [Z(\theta)]^2 H_0}}$$

$$H_0 \rightarrow \infty \Rightarrow t_1 \rightarrow 0, \quad H_0 \rightarrow \max(-[f(\theta)]^2/[Z(\theta)]^2) \Rightarrow t_1 \rightarrow \infty$$

Differentiating, we have

$$\frac{\partial t_1}{\partial H_0} = -\frac{1}{2} \int_0^{2\pi} \frac{[Z(\theta)]^2 d\theta}{([f(\theta)]^2 + [Z(\theta)]^2 H_0)^{3/2}} < 0 \quad \Rightarrow \quad \frac{\partial t_1}{\partial \lambda_0} < 0$$

# Example 2: Theta Neuron Model

---

$$\frac{d\theta}{dt} = 1 + \cos \theta + (1 - \cos \theta) (I(t) + I_b),$$

$I_b > 0$ : superthreshold Type I neuron;  
fires periodically with period  $\pi/\sqrt{I_b}$  when  $I(t) = 0$

$I_b < 0$ : subthreshold Type I neuron;  
does not fire without  $I(t)$

$$f(\theta) = 1 + \cos \theta + I_b(1 - \cos \theta)$$

$$Z(\theta) = 1 - \cos \theta$$



# Example 2: Theta Neuron Model

Optimal  $I(t)$  for  $I_b = -0.25, t_1 = 30$

